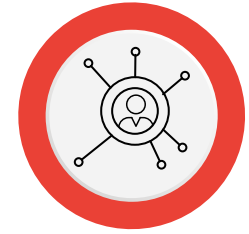


AMLOCK™

FINANCIAL CRIME DETECTION AND MANAGEMENT SOLUTION



WATCHLIST SCREENING

- Watchlist / Adverse Media / PEP Screening
- Third Party Lists (Worldcheck, World Compliance Accuity, Dow Jones)
- Intelligent Search Engine
- Flexible List Management
- Real-time / EOD / Adhoc Screening
- Exception List Management

KNOW YOUR CUSTOMER

- 360-degree customer view
- Dynamic Peer Profiling
- Uncover hidden relationships
- FATCA Compliance

RISK CATEGORIZATION

- Predictive Risk Scoring
- Static/Dynamic/Predictive Dimensions
- Dashboard and Periodic Review
- Customer Type Specific Risk
- High Risk Industry
- Classification based on SIC, NAICS codes

TRANSACTION MONITORING AND CASE MANAGEMENT

- 150+ Out of Box Rules
- Flexible Threshold Management
- High Quality Alert Detection
- Activity Tracking Dashboards
- Flexible Rule Builder
- Configurable at Multilevels Workflow

AMLOCK™ OFFERINGS

AMLOCK™ LITE

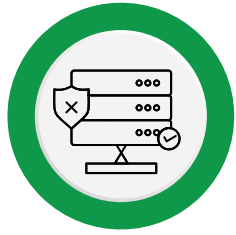
Caters to small and medium non-banking finance companies (NBFCs). It is specifically designed as per NBFCs regulations.

AMLOCK™ FCDMS

An enterprise level end-to-end financial crime management solution. It integrates the best of anti-money laundering and anti-fraud measures to effectively identify, manage and report financial crime.

AMLOCK™ ANALYTICS

Uses the latest dimension of analytics and AI to uncover hidden AML patterns/risks. Statistical methods and ML algorithms derive analysis and predictions based on historical data to meet the challenge of high false positives.



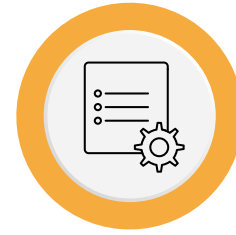
REMITTANCE CHECK

- Real-time integration with Payment Gateways (e.g. SWIFT)
- Sanctions Screening
- High Value Remittance
- Free Text / BIC screening



AML RED FLAGS

- Sanctions Match
- Cash Conversion
- Structuring
- Mule Accounts
- Wire Transfers and Trade Based
- Underground Banking
- NPO/Shell/Trusts



REPORTING

- SARs / CTRs / EFTs
- User Activity Tracking Reports
- MIS / Operational Reports
- Automate Email Reports



ADMINISTRATION

- User Authentication
- Hierarchy Management
- Rule based alert assignment
- Access Control
- Comprehensive Audit Trail

FUNCTIONAL MODULES	Lite	FCDMS	Analytics
• KYC and Risk Assessment	✓	✓	✓
• Transaction Monitoring	✓	✓	✓
• Remittance Monitoring	✗	✓	✓
• Case Management	✓	✓	✓
• Reporting and Administration	✓	✓	✓
• AML Analytics	✗	✗	✓

DEPLOYMENT MODELS

- On-premise
- Cloud-based

BUSINESS BENEFITS

- Help financial institutions address pressing challenges pertaining to AML, identify financial crime, avoid penalties and reputation loss
- Aligned with the latest in technology including mobile wallets, machine learning and graphical analytics
- Innovative, effective solutions to efficiently reduce compliance cost
- Rich user interface for a superior user experience

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